Iftikhar Ali, Narayan Longani, Fahad Mumtaz Malik

College of Electrical and Mechanical Engineering, National University of Science and Technology, Rawalpindi, Pakistan engriftikharali89@yahoo.com, narayanlongani@gmail.com, malikfahadmumtaz@yahoo.com

ABSTRACT—Development in electronics has also reduced the size of electronic items. Variations in supplied voltage or in load, external disturbances, parametric uncertainty or measurement error cause fluctuation in output of DC supply. In this paper use of nonlinear controllers for the buck converter is presented which gives stable output in every condition as discussed. The nonlinear controllers used are backstepping and integral sliding mode controller. Performance of these controllers is also compared with nominal and perturbed parameters.

Keywords-Buck Converter, Integral Sliding Mode Control, Backstepping.

I. INTRODUCTION

Day by day use of electronics equipment is increasing. Electronic equipments usually come with DC supply. For proper operation a robust supply is required. These power supplies of electronic equipments are using converter. Many factors like load variations, effect of temperature, parametric uncertainties, measurement error causes fluctuations in output voltage of converter [1,2,3]. These fluctuations of converter can be overcome with different control techniques [4].

The Sliding Mode Control is one of the nonlinear control techniques which is robust to both parametric uncertainties and external disturbances [5,6]. Due to robustness of sliding mode controller it can provide us a good regulation on different operating ranges [7]. Comparison of various control methods like sliding mode control, PI and fuzzy logic controllers on Buck converters [8]. From [9] and [10] it is clear that sliding mode control of single phase DC-DC buck is robust to the load variation, variation in input, parameter uncertainties and operating point changes. Ref [11] shows that transients effect and output ripple voltage can be improved with using SMC for the two phase synchronous buck converter. Others also show that a number of approached for sliding mode controllers are not effective when variations in load current and supplied voltages are high [12]. Study of nonlinear backstepping controller techniques for buck converter reveals that its results are very effective than proportional integral (PI) control and also efficient for unknown load case [13,14,15].. Huangfu Yigeng et al. made comparison of types of sliding mode controller with PI and PID for the buck converter, results clearly shows the poor robustness of PI, PID compared to sliding mode controller [16,17].



Fig. 1 DC-DC Buck Converter

In this paper there is comparison of two nonlinear control techniques used for buck converter under nominal conditions and perturbed conditions.

II. BUCK CONVERTER

Step down DC/DC converter is referred as Buck Converter in fig 1. Differential model of buck converter shows that it is a minimum phase system because its relative degree is two. In fig. 1, L, R and C are inductance, resistance (load) and capacitance respectively. Where E is the source voltage, S is the switch, V_0 is output voltage and D is diode and *u* defines the duty cycle of input.

The mathematical model for buck converter is obtained using Kirchhoff's current and voltage laws. The inductor current I_L is modeled as state variable x_1 and capacitor voltage V_C are modeled as state variables x_2 , then the state space model of buck converter will be

$$\dot{x}_1 = -\frac{x_2}{L} + \frac{uE}{L} \tag{1}$$

$$\dot{x}_2 = \frac{x_1}{C} - \frac{x_2}{RC} \tag{2}$$

III. NON LINEAR CONTROLLERS

Normally nonlinear controllers are used because most of the systems are nonlinear. For proper operations of converters in the steady state closed loop controllers are used [18]. Usually, in order to keep closed loop control system working, PI and PID are most common control techniques are used. In this paper integral sliding mode & backstepping controllers are designed and implemented for the model of buck converter.

A. Backstepping control

The Backstepping Controller is one of the robust nonlinear controllers used. Back stepping technique uses divide and conquers. The model defined in (1) & (2), x_2 is output voltage and x_1 is Inductor current. The error dynamics will be defined as

$$e_1 = x_2 - x_{2d} (3)$$

Where e_1 is given Error and x_{2d} is desired output voltage. Using the Lyapunov function as,

$$V_1 = \frac{1}{2} e_1^{\ 2} \tag{4}$$

$$\dot{V}_1 = e_1(\dot{x}_2 - \dot{x}_{2d}) \tag{5}$$

Using (2)

$$\dot{V}_1 = e_1 \left(\frac{x_1}{c} - \frac{x_2}{RC} - \dot{x}_{2d} \right)$$
(6)

As $\frac{x_1}{C}$ act as virtual control, now using Backstepping technique e_2 will be defined as

$$e_2 = \frac{x_1}{c} - \frac{x_2}{RC} - \dot{x}_{2d} + \alpha e_1 \tag{7}$$

Similarly other Lyapunov Function will be

$$V_2 = \frac{1}{2}e_2^{\ 2} \tag{8}$$

$$\dot{V}_2 = e_2 \left(-\frac{x_2}{LC} + \frac{uE}{LC} - \frac{x_1}{RC^2} + \frac{x_2}{R^2C^2} - \ddot{x}_{2d} + \alpha \dot{e}_1 \right)$$
(9)

Now the composite Lyapunov function will be

$$V = V_1 + V_2 \tag{10}$$

$$\dot{V} = e_1 \left(\frac{x_1}{c} - \frac{x_2}{RC} - \dot{x}_{2d} \right) + e_2 \left(-\frac{x_2}{LC} + \frac{uE}{LC} - \frac{x_1}{RC^2} + \frac{x_2}{R^2 C^2} - \ddot{x}_{2d} + \alpha \dot{e}_1 \right)$$
(11)

Using (7) and some mathematical operation we get

$$\dot{V} = -\alpha e_1^2 + e_2 \left(e_1 - \frac{x_2}{LC} + \frac{uE}{LC} - \frac{x_1}{RC^2} + \frac{x_2}{R^2C^2} - \ddot{x}_{2d} + \alpha \dot{e}_1 \right) (12)$$

From (12) we will define such control input that V is negative definite, then control will be

$$u = \frac{LC}{E} \left(-e_1 + \frac{x_2}{LC} + \frac{x_1}{RC^2} - \frac{x_2}{R^2C^2} + \ddot{x}_{2d} - \alpha \dot{e}_1 - \beta e_2 \right) (13)$$

Where α and β are constants and greater than zero. Control effort derived in (13) is backstepping control for the buck converter [19]. Now after deriving backstepping control law, we will derive another control law using Integral sliding mode for the buck converter.

B. Integral Sliding Model Controller

The Integral Sliding Mode Controller (ISMC) is robust nonlinear controllers. The integral sliding mode controller also works in two steps like sliding mode controller, first step is reaching phase and other step is sliding phase. Control effort of ISMC is designed in such away that initially move the system dynamics to the sliding surface, after reaching, system dynamics will slides on the surface until it reaches equilibrium point. The integral sliding mode controller shows robustness to load variation and parametric uncertainty.

Uncertainty occurs in values of capacitance and inductance, and also variations occurs in load and input voltage source of DC-DC buck converter. Sliding mode controller has disadvantage of chattering i.e. finite amplitude and frequency oscillations occurs because of sign function. They are reduced with saturation or tangent hyperbolic function rather than use of sign function. Higher order SMC also reduce chattering effect because chattering affects the performance of power system. To remove steady-state error we add integrator to the controller. Similarly we add integral control to sliding mode controller to achieve regulation with no steady state error. We will design Integral sliding mode control for DC-DC buck converter. Using augmented integrator as

$$\dot{e}_0 = x_2 - x_{2d} \tag{14}$$

We obtain the augmented system as

$$\dot{e}_0 = e_1 \tag{15}$$

$$\dot{e}_1 = e_2 \tag{16}$$

$$\dot{e}_2 = \frac{1}{LC} (uE - x_2) - \frac{\dot{x}_2}{RC}$$
 (17)

The sliding mode surface is selected as

$$s = k_0 e_0 + k_1 e_1 + k_2 e_2 \tag{16}$$

Taking derivatives to both sides we get

$$\dot{s} = k_0 e_1 + k_1 e_2 + \frac{k_2}{LC} (uE - x_2) - \frac{k_2 \dot{x}_2}{RC}$$
 (17)

Control effort will be derived such that $s\dot{s} < 0$, conditions is satisfied. So control input will be

$$u = -ksat\left(\frac{s}{\epsilon}\right) = -ktanh\left(\frac{s}{\epsilon}\right)$$
(18)

Where k > 0 and ϵ is a positive constant $(0 < \epsilon < 1)$.

Now in next section results are presented after applying these nonlinear controllers to the model of buck converter. The classical and continous sliding mode controllers can be related as if the relative degree is one the controller become PI controler followed by saturation or signum or tangent hyperbolic function and when relative degree of a system is two then the controller become PID classical controller followed by saturation or tangent or signum function [19].

IV. SIMULATION RESULTS

Simulation results are for the nonlinear control effort designed in the previous section using nonlinear controllers for the buck converter are shown. Different parameters for the simulations are used as $L = 4\mu$ H, C = 10mF, E = 10V, $V_0 = 5$ V and random load, R, was used with mean value of 5.0127 Ω . Variation in load, input supply and parametric variation are considered and for them results are also represented. For Simulations, SIMULINK of MATLAB R2013a was used and total simulation time was 0.01 sec.

A. Backstepping Results

Results of backstepping controller for buck converter for output of 5 Volts, with nominal parameters and with 10% perturbation added to system parameters and source voltage are presented in fig. 2 & in fig. 3. The parameter alpha, $\alpha =$ 99 and $\beta = 0.4$. From the graphs we can see the robustness of backstepping controller toward perturbation.



Fig. 2 Results of Buck converter with nominal parameters using backstepping controller. First graph shows output voltage, second graph is the control effort and last graph is error plotted between the desired & obtained output voltage of buck converter.



Fig. 3 Output Voltage of Buck Converter with perturbed parameters using Backstepping controller.

B. Integral Sliding Mode Control

Results of integral sliding mode controller for buck converter for output of 5 Volts, with nominal parameters and with 10% perturbation added to system parameters and source voltage are presented in fig. 4 & in fig. 6. The values of $K_0 = 0.0029599991$, $K_1 = 0.000999991$, and $K_2 = 3e-07$ are obtained using The Jury Stability Test for discrete time systems [20]. From the graphs we can see the robustness of integral sliding mode controller toward perturbation.



Fig. 4 Results of Buck converter with nominal parameters using integral sliding mode controller. First graph shows output voltage, second graph is the control effort and last graph is error plotted between the desired & obtained output voltage of buck converter.



Parameter /	Backstepping	Integral Sliding
Controller	Controller	Mode Controller
RESULTS WITH NOMINAL PARAMETERS		
Rise Time (Sec)	4.6520e-05	6.5952e-04
Settling Time (Sec)	8.2204e-05	0.0012
Overshoot	0.0016	0
Peak Time (Sec)	3.4006e-04	0.0100
RESULTS WITH PERTURBED PARAMETERS		
Rise Time (Sec)	4.6567e-05	6.5956e-04
Settling Time (Sec)	8.2298e-05	0.0012
Overshoot	0.0016	4.0671e-05
Peak Time (Sec)	3.3690e-04	0.0100

TABLE 1. PARAMETERS OF OUTPUT VOLTAGE

V. CONCLUSION

From simulation of both the controllers, different features of output voltage of buck converter like rise time, settling time, overshoot and peak time are compared. From the results we can see that both controllers achieve stability in span of negligible time. From Table I. settling time of backstepping controller is less than that of integral sliding mode controller for both nominal and perturbed parameters case. The integral sliding model Controller is having advantage of overshoot, there is no overshoot with nominal parameters while negligible when perturbation is added to parameter and source voltage, while backstepping controller shows the same overshoot for both cases. Now it's up to the requirement of user, if user is having a tradeoff for overshoot then he can use Integral sliding mode control and if he requires quick stabilization, Backstepping controller is good candidate.

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